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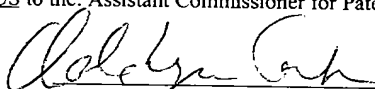
ATTORNEY DOCKET NO. 101792-100  
Page 1 of 2

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CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date July 22, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EM343277749US to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

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APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53

Transmitted herewith for filing under 37 C.F.R. § 1.53 is the patent application of:

Inventor(s): DAVID F. GAVIN, CRAIG WALDRON, ROBERT J. MARTIN AND GEORGE A. POLSON

for: **"COMPOSITE BIOCIDAL PARTICLES"**

1. Type of Application

☒ Original    ☐ Continuation    ☐ Divisional    ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

- ☐ The new application being transmitted claims the benefit of  
☐ prior foreign application serial no. \_\_\_\_\_, filed on \_\_\_\_\_ in  
\_\_\_\_\_ (country); or  
☐ PCT international application designating at least one country other than the U.S.  
application serial no. \_\_\_\_\_, filed on \_\_\_\_\_.  
A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. § 120)

- ☐ The new application being transmitted claims the benefit of prior copending U.S. application(s):  
Serial no. \_\_\_\_\_, filed on \_\_\_\_\_.

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

21	page(s) of Specification
7	pages of Claims, having <u>39</u> claims, including <u>8</u> independent and <u>31</u> dependent claims
1	page(s) of Abstract
1	sheet(s) of <input type="checkbox"/> formal <input checked="" type="checkbox"/> informal Drawings

5. Additional Papers Enclosed

- ☐ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449  
☐ Copies of Cited Art  
☒ Form PTO-1595, Assignment Recordation Form  
☐ Petition to Accept Photomicrographs as Drawings under 37 CFR 1.84(b).

6. Declaration

A declaration is [X] enclosed [ ] not enclosed.

7. Assignment

[X] Enclosed is an assignment of the invention to Olin Corporation, a corporation of the State of Virginia.

8. Small Entity Status

[ ] A verified statement claiming small entity status:

[ ] is enclosed.

[ ] was filed in prior application \_\_\_\_\_ and such status is still proper and desired.

9. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS AS FILED					
Number Filed	Number Extra			Rate	Basic Fee \$ 790.00
Total Claims	39	-20	19	\$ 22.00	\$ 418.00
Independent claims	8	-3	5	\$82.00	\$ 410.00
Multiple Dependent claims, if any:				\$260.00	0
Total					\$1,618.00
Reduction for small entity by 50%					
TOTAL FILING FEE					\$1,618.00

10. Method of Payment of Fees

[ ] Check in the amount of \$

[X] Charge Account No. **23-1665** in the amount of **\$1,618.00**

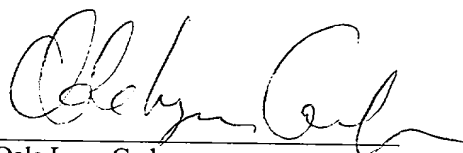
11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. §§ 1.16, 1.17, and 1.18, or credit any overpayment to Account **No. 23-1665**.

12. Correspondence

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# COMPOSITE BIOCIDAL PARTICLES

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

5 The present invention relates generally to biocidal compositions, and more particularly, compositions comprising composite particles, wherein each of the composite particles contains a shell and a core possessing differing biocidal activity profiles. The core comprises a biocidally active oxidized metal, or metal-containing compound, and the shell comprises a pyrithione salt of the  
10 core metal having complimentary biocidal activity to the activity of the core. Select compositions of the present invention, when incorporated into a matrix, such as a paint or other coating composition, provide the matrix with resistance to fouling by organisms of more than one type, thus providing broad spectrum biocidal activity.

### 2. Description of the Related Art

15 Certain composite particles containing a biocide are known. By way of illustration, U.S. Patent 5,510,109 discloses an antibacterial/antifungal material carried on a porous particle carrier that is preferably a silica gel particle. As another illustration, U.S. Patent 5,595,750 discloses an antimicrobial  
20 composition comprising an inorganic particle having a first coating possessing antimicrobial properties and a second coating providing a protective function for the composition. Heretofore, however, no composite biocide particles have been known, based upon the knowledge of the present inventors, comprising two biocides with differing biocidal activity profiles.

25 In the marketplace, biocides enjoy wide usage. For example, biocidal compositions are employed in a wide variety of applications, including

shampoos, soaps, skin care medicaments, as well as paints, particularly marine paints. Fouling of marine equipment has been a persistent problem for many years. For example, boat hulls, docks, buildings, fishnets and cages, and related marine equipment that are in constant contact with marine water become fouled with algae, barnacles and other marine organisms, and much time and expense is required to remove these organisms.

Generally, marine fouling has been categorized into two broad classes. "Soft-fouling" refers to growth of "soft" organisms, such as algae on marine and coastal equipment and other outdoor structures. "Soft" organisms also include the fungi causing mildew, often co-existing with algae, and typically necessitating the addition of both an algaecide and a fungicide in outdoor coatings such as architectural paints. "Hard-fouling", by contrast, refers to growth of "hard" organisms such as barnacles, and tubeworms. Compounds used to control hard fouling include copper, cuprous oxide, zinc oxide, and copper thiocyanate. As used herein "anti-soft-fouling" refers to an antifouling agent that is effective in reducing or preventing the growth of soft organisms, whereas "anti-hard-fouling" refers to an antifouling agent that is effective in reducing or preventing the growth of hard organisms. Due to the fact that there exist different organisms that cause soft-fouling versus hard-fouling, separate approaches have been taken to address each type of fouling.

Polyvalent metal salts of pyrrithione (also known as 1-hydroxy-2-pyridinethione; 2-pyridinethiol-1-oxide; 2-pyridinethione; 2-mercaptopyridine-N-oxide; and pyridinethione-N-oxide) have gained acceptance for use in marine paints and coatings to decrease or minimize soft-fouling. These salts are known to be effective biocidal agents, leading to their wide usage as algaecides, fungicides and bactericides in paints and personal care products such as anti-dandruff shampoos. Generally these salts are only sparingly soluble in water, as typified by magnesium pyrrithione, barium pyrrithione, bismuth pyrrithione, ferric pyrrithione, strontium pyrrithione, copper pyrrithione, zinc pyrrithione, cadmium pyrrithione, and zirconium pyrrithione. The most widely used divalent pyrrithione

salts are zinc pyrithione and copper pyrithione. Synthesis of polyvalent pyrithione salts is described in U.S. Patent No. 2,809,971 incorporated herein by reference in its entirety. Other patents disclosing similar compounds and processes for making them include U.S. Patent Nos. 2,786,847; 3,589,999; 3,590,035; and 3,773,770, each of which is incorporated herein by reference in their entireties.

Zinc pyrithione is useful as an antimicrobial agent active against gram-positive, some negative bacteria, fungi, algae and yeasts. Suspensions of zinc pyrithione are also used as antibacterial, antifungal, and antialgal additives to provide soft-fouling protection for paints and other coating compositions. However, zinc pyrithione is not as effective against hard-fouling organisms as might be desired.

Copper pyrithione is sold commercially as an algacide and anti-soft-fouling agent for marine paints and coatings as disclosed in U.S. Patent Nos. 5,246,489 and 5,540,860, both of which are incorporated by reference in their entireties. Copper pyrithione offers several advantages over zinc pyrithione for many applications, notably a lower solubility (about 1 ppm versus 6-10 ppm for zinc pyrithione). The lower solubility of copper pyrithione, as compared to zinc pyrithione, increases its effective availability as a biocidal agent over a longer period of time when exposed to marine environments, and makes it particularly desirable as an anti-soft-fouling agent. However, copper pyrithione is not as effective in preventing hard-fouling as might be desired. To address this shortcoming, combinations of soft-fouling and hard-fouling agents have been manufactured. However, to date, these combinations have not been entirely successful.

Combinations of zinc pyrithione or copper pyrithione and copper compounds such as cuprous oxide, copper hydroxide, or copper thiocyanate are known to be useful antifouling agents when formulated into marine paint compositions to control both hard- and soft-fouling. Such a paint composition is

disclosed in U.S. Patent No. 5,057,153, herein incorporated by reference in its entirety. However, paint compositions which include zinc pyrithione and cuprous oxide antifouling agents tend to form undesirable gels during storage, thereby limiting the useful life of the composition. The gelation problem, and efforts to  
5 resolve or mitigate it are described in U.S. Patent Nos. 5,298,061 and 5,342,437.

Tributyl tin compounds have in the past been demonstrated to be effective in controlling soft-fouling, and to a lesser degree, hard-fouling, organisms. However, tributyl tin compounds are toxic to non-target organisms, and are persistent, thus posing an unwanted environmental hazard.

10 In addition, these toxic compounds persist in the environment after application and continue to exert their toxic effects for years. Therefore, use of tributyl tin compounds as antihard- and antisoft-fouling agents is restricted in many parts of the world. Accordingly, what the paints and coatings community needs is a safer antimicrobial agent that is effective in controlling both soft- and  
15 hard-fouling growth simultaneously. In this regard, zinc and copper compounds, such as zinc oxide, cuprous oxide, and pyrithione salts, such as zinc pyrithione or copper pyrithione, have been individually added to antifouling paints. However, such a combination made by separately adding these two components to a paint has been found to provide less performance efficacy (based upon the amounts of  
20 individual components added to the paint) than might otherwise be desired. Accordingly, new methodology for providing relatively environmentally safe combinations of anti-hard and anti-soft components within a single biocidal composition package for use in paints and other coatings would be highly desired by the coatings manufacturing community. The present invention provides one  
25 answer to that need.

## SUMMARY OF THE INVENTION

In one aspect, the present invention relates to a biocidal composition comprising composite particles, each of said composite particles containing a shell and a core, said core comprising a metal or a metal-containing compound wherein the metal is a moiety selected from the group consisting of zinc, copper, bismuth, silver, zirconium, and combinations thereof, and said shell comprising a pyrrhione adduct comprising the reaction product of pyrrhione with a portion of said metal or metal-containing compound from said core. The shell component provides complimentary biocidal activity to the biocidal activity of the core component.

In another aspect, the present invention relates to a biocidal composition that is effective against both soft fouling and hard fouling comprising composite particles, each said composite particle containing a shell and a core, said core comprising a metal or a metal-containing compound comprising a moiety selected from the group consisting of zinc, copper, bismuth, silver, iron, titanium, aluminum, zirconium and combinations thereof, and said shell comprising a pyrrhione salt comprising pyrrhione and said moiety.

In yet another aspect, the present invention relates to a method of making a biocidal composition comprising reacting pyrrhione or a water-soluble salt of pyrrhione and an essentially water insoluble metal or metal-containing compound (such as a copper salt, oxide or hydroxide), optionally in the presence of a surfactant, to produce a composition comprising the above described composite particles. In one application, the resulting composition is advantageously effective against hard-fouling and soft-fouling organisms.

In still another aspect, the present invention relates to a method of reducing or inhibiting the growth of hard and soft organisms on a surface which comprises contacting the surface with an antifouling composition comprising the above-described composite particles.

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In another aspect, the present invention relates to a shampoo, soap, skin care medicament, or combination thereof, comprising a surfactant and composite particles, each said composite particle containing a shell and a core, said core comprising a metal or a metal-containing compound comprising a moiety  
5 selected from the group consisting of zinc, copper, bismuth, silver, iron, titanium, aluminum, zirconium and combinations thereof, and said shell comprising a pyrithione salt comprising pyrithione and said moiety. When employed for this purpose, the composite particles offer the potential for reduced skin absorption and equivalent skin or hair surface coverage vis-à-vis a simple mixture of the  
10 biocidal additives.

In still another aspect, the present invention relates to a coating composition comprising an aqueous base medium (such as a latex) or an organic solvent based resin-containing base medium and an antifouling composition comprising the above-described composite particles.

15 In yet another aspect, the present invention relates to a coated substrate comprising a substrate and a coating thereon, said coating comprising the above-described composite particles.

These and other aspects will become apparent upon reading the following detailed description of the invention.

## 20 BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be more fully understood from the following detailed description taken in conjunction with the accompanying drawings, in which:

Fig. 1 is a comparison photomicrograph of cuprous oxide particles viewed at 5,000x magnifications.

25 Fig. 2 is a photomicrograph of composite particles of the present invention containing a core of cuprous oxide and a shell of copper pyrithione prepared by the method of the invention and viewed at 5,000x magnifications.



## DETAILED DESCRIPTION OF THE INVENTION

It now has been surprisingly found, in accordance with the present invention, that a solution is provided to the problem of controlling both soft-fouling and hard-fouling marine organisms in a single biocidal composition comprising composite particles. Select composite particles provide antifouling efficacy against both hard-fouling and soft-fouling organisms that are typically encountered in a marine environment. The composite particle structure has been found to be particularly effective in providing controlled release of the core biocide into the aqueous environment that is present under use conditions for a marine or architectural paint or a personal care composition such as soap or shampoo. As another alternative, composite particles are suitably designed to provide a relatively low-cost biocide by transchelation to provide composite particles having a core of filler or a biocide and a shell of pyrithione, thereby increasing the effective surface area of the pyrithione biocide. When employed in personal care compositions, the composite particles can provide desirable reductions in skin absorptivity relative to a mixture of the individual biocidal components. The composite particles prepared in accordance with the present invention are also advantageously employed in marine paints, architectural coatings and adhesives and the like, but they also can be tailored for use in other applications such as in personal care items, such as soaps, shampoos and skin care medicaments, and suitable plastic and polymeric substrates. The particle size for the composite particles typically ranges from 1 to 20 microns, advantageously from 1 to 10 microns, in diameter.

The compositions of the present invention avoid the toxicity issues typically associated with tributyl tin compounds currently used to control both hard- and soft-fouling marine organisms. In addition, the compositions of the present invention resist gelation during storage, and therefore can be stored for long periods of time prior to use. The compositions of the present invention provide the additional advantage of a more economical and environmentally preferred rate of delivery of the antifouling components, namely the copper

pyrithione component and the copper or oxide or hydroxide component. In one embodiment, cuprous oxide and sodium pyrithione are mixed in an aqueous solvent to produce a composite particle comprising a mixture of copper pyrithione and cuprous oxide wherein the cuprous oxide core is coated with a shell of copper pyrithione.

As used herein, the term "antifouling agent" refers to compounds which substantially reduce or eliminate the growth of marine organisms that attach to structures in contact with marine water. The term "soft-fouling" and "soft-fouling organisms" as used herein refers to those soft marine organisms such as algae, slime, grass and diatoms. The term "hard-fouling" and "hard-fouling organisms" as used herein refers to hard or shelled marine organisms such as barnacles, mussels, bryozoa and tubeworms. The term "anti-soft-fouling effective amount" refers to an amount of a compound which is effective in substantially reducing or eliminating the growth of soft-fouling organisms. Similarly, the term "anti-hard-fouling effective amount" refers to an amount of a compound which is effective in substantially reducing or eliminating the growth of hard-fouling organisms.

As indicated above, the invention relates to an antifouling agent, comprising an antisoft-fouling effective amount of copper pyrithione; and an antihard-fouling effective amount of a copper-containing salt.

Copper pyrithione is among the least water soluble of the pyrithione salts (generally less than 1 ppm in seawater). The zinc salt, by comparison, has a water solubility of about 6-10 ppm, while the sodium salt of pyrithione has a water solubility of about 53% by weight. Copper pyrithione is, therefore, a very desirable component of antifouling marine paints due to its relative insolubility but adequate biocidal efficacy.

The copper-containing core-compound can be a copper or one of its compounds slightly soluble in water up to about 10 ppm. Useful counterions for the copper cation include oxides, sulfides and selenides. Preferable copper-

containing compounds that are useful in the invention include cuprous oxide, cuprous sulfide, copper thiocyanate, and copper hydroxide, or copper metal, either coated or uncoated, or surface oxidized. A particularly preferable copper-containing compound is cuprous oxide. Combinations of these copper-containing compounds may also be used in accordance with the invention.

When working with copper compounds, an effective combination of copper pyrithione and another copper-containing salt, oxide, or hydroxide thereof (or copper metal or surface-oxidized copper) is employed in the antifouling composition of the present invention to provide the desired antifouling protection.

10 Preferably, the relative proportions of copper pyrithione and copper containing compound are such that the copper pyrithione partially or completely coats the other copper containing compound to form a shell around that other compound. To achieve this result, the copper-containing metal, oxide, or hydroxide (or combination thereof) component of the antifouling composition of the invention  
15 preferably comprises from about 99 to about 60% by weight, more preferably 98 to about 80% by weight, and most preferably 98 to about 90% by weight, all based on the total weight of said antifouling agent, and the copper pyrithione component of the antifouling agent of the invention preferably comprises from about 1 to about 40% by weight, more preferably from about 2 to about 10% by  
20 weight, and most preferably from about 2 to about 10% by weight, all based on the total weight of said antifouling agent.

A surfactant or fatty acid may be included in the antifouling agent of the invention to coat the particles to prevent the copper-containing core compound from oxidizing upon exposure to air. Suitable fatty acids and derivatives for use  
25 in the present invention include oleic acid, stearic acid, glycerol, lecithin, and the like.

The antifouling composition of the invention may be prepared by a transchelation reaction of pyrithione or a water-soluble salt of pyrithione and a copper-containing minimally soluble salt, or a copper particle. Pyrithione may be

used in its acid form, or it may be employed in the form of a water-soluble salt of pyrithione for the desired transchelation reaction. Preferably, the water-soluble salt of pyrithione has a water solubility greater than about 4 ppm. Useful water soluble salts of pyrithione preferably include an ammonium ion or an alkali or alkaline earth metal ion such as sodium or calcium, or magnesium. Accordingly, exemplary water soluble salts of pyrithione include sodium pyrithione, potassium pyrithione, lithium pyrithione, ammonium pyrithione, tert-butyl amine pyrithione, calcium pyrithione, dithiobis (pyridine-N-oxide), a magnesium salt adduct of dithiobis (pyridine-N-oxide), and combinations thereof, to cause transchelation of said pyrithione compound to copper pyrithione. The most preferred water-soluble salt of pyrithione useful in the present invention is the sodium salt (i.e., sodium pyrithione). The amount of pyrithione or water-soluble salt of pyrithione can vary over a wide range and establishing a useful amount is within the capabilities of the ordinary skilled practitioner based on the stoichiometry of the reaction.

As indicated above, suitable copper-containing compounds and hydroxides that are useful in the present invention include cuprous oxide, cuprous sulfide, copper thiocyanate, copper, surface oxidized copper, partially reduced cupric oxide, and copper hydroxide. A particularly preferable copper-surface oxidized copper, containing salt is cuprous oxide. The amount of copper-containing salt present in the reaction may vary depending on the amount of pyrithione or water-soluble salt of pyrithione used in the reaction.

Sufficient pyrithione, or water-soluble salt of pyrithione, must be added to the particle forming reaction to produce enough copper pyrithione to protect against soft-fouling microorganisms and also enough copper-containing salt, hydroxide, or oxide (e.g., cuprous oxide) to be effective against hard fouling organisms, present within a weight range of ratios of from 1:20 to 20:1 (more preferably of from 1:10 to 10:1) of copper pyrithione relative to the copper-containing salt, or oxide or hydroxide thereof. Preferably, the relative

proportions of copper pyrithione and copper containing salt are such that the copper pyrithione partially or completely coats the cuprous oxide particle.

Useful media for the reaction include aqueous media such as water, or water in combination with one or more organic solvent(s). Useful organic solvents include alcohols, such as methanol, ethanol, amines such as diethanolamine, ether, esters, and the like, including emulsified combinations of same.

In accordance with the method of the invention, the copper-containing salt and pyrithione or water-soluble salt of pyrithione are mixed in a solvent such as water to produce a mixture of copper pyrithione and copper-containing compound, and preferably, a copper-containing salt particle that is coated with copper pyrithione molecules.

Additional materials, such as dispersants, surfactants, and the like may be added to the reactants during the precipitation or transchelation reaction to prevent agglomeration of the pyrithione salt particles. Alternatively, the dispersant or surfactant may be added at the completion of the reaction to prevent particle agglomeration. Exemplary dispersants include linear alcohol alkoxyates, such as the linear alcohol ethoxyates, ethoxyated/propoxyated block copolymers, ethoxyated/propoxyated fatty alcohols, and polyoxyethylene cetyl ethers, and the like. If desired, the alcohol alkoxyate is suitably end-capped with a lower alkyl group, and such a product is commercially available as POLY-TERGENT SLF-18 surfactant, available from BASF Corporation. Useful anionic surfactants include alkyl diphenylether disulfonates, alkyl phenyl ethoxyated phosphate esters, carboxylated linear alcohol alkoxyates, linear alkyl benzene sulfonic acid, diisobutyl sulfosuccinate, and alkyl sulfonates.

Other useful anionics are polycarboxylated alcohol alkoxyates, preferably those selected from the group consisting of the acids or organic or inorganic salts of the following: polycarboxylated linear alcohol alkoxyates, polycarboxylated

branched alcohol alkoxylates, polycarboxylated cyclic alcohol alkoxylates, and combinations thereof.

Illustrative cationic dispersants include alkyl triammonium halide, non-linear alkyl dimethyl halide and alkyl dimethyl benzyl ammonium halide-  
5 containing surfactants. Illustrative amphoteric dispersants include polyglycol ether derivatives, ethoxylate oxazoline derivatives, lauramidopropyl betaine and lecithin.

As will be appreciated by those skilled in the art, suitable blends can be employed in the process of the present invention based on various combinations  
10 of the above-described surfactants. The dispersant or surfactant is preferably employed in a total amount of between about 0.05 and 10%, more preferably between about 0.1 and 5%, most preferably between about 0.5 and about 1.5% by weight, based on the total weight of the reaction mixture.

A fatty acid, as described above, may be included in the antifouling agent  
15 of the invention to coat the particles to prevent the copper-containing salt from oxidizing upon exposure to air. The fatty acid component may be added to the reaction mixture, or after the particles are isolated, as described in more detail below.

Preferably, the temperature of the reaction should be maintained between  
20 about 20°C and about 80°C, and most preferably between about 25°C to about 70°C. A particularly useful temperature range is 40°C-70°C.

The pyrithione composite particles may be isolated from the by-products by filtration of other isolation methods known in the art.

General methods for making a copper-based version of an antifouling  
25 agent of the invention include either (a) first producing a water slurry of cuprous oxide, desired aqueous slurry of cuprous oxide, and (b) adding sodium pyrithione, or a solution thereof, to this slurry, to cause transchelation of the sodium and

surface generated copper ions and thereby produce adherent copper pyrithione.

The sodium pyrithione can be used in crude form such as 15% plant stream in "as produced" or 40% purified form. The cuprous oxide/sodium pyrithione slurry is then mixed for a time sufficient to enable all of the sodium pyrithione to react and transchelate to form adherent copper pyrithione. The solids are next washed to remove any of the water soluble salts. The particles may optionally be coated with a fatty acid or other protectorant such as lecithin to prevent the cuprous oxide from oxidizing to cupric oxide once dried. The product is then filtered, dried. The product is now ready for use in marine paints as an antifouling agent.

10           A preferred process for carrying out the present invention entails the steps of: (1) charging into a reaction vessel an untreated cuprous oxide slurry, (2) adding crude sodium pyrithione, (3) mixing until all of the sodium pyrithione is reacted, (4) adding a small amount of protective agent to coat the particles, and (5) filtering, washing, drying and milling the product.

15           Another preferred process for carrying out the present invention entails the steps of: (1) charging into a reaction vessel a fatty acid treated cuprous oxide slurry, (2) adding crude sodium pyrithione, (3) mixing until all of the sodium pyrithione is reacted, (4) filtering, washing, drying and milling the product.

20           Another process for carrying out the present invention entails the steps of: (1) charging into the reaction vessel some treated cuprous oxide powder then adding water with stirring to make a slurry, (2) adding crude sodium pyrithione, (3) mixing until all of the sodium pyrithione is reacted, (4) filtering, washing, drying and milling the product.

25           Another process for carrying out the present invention entails the steps of: (1) charging into the reaction vessel a treated cuprous oxide slurry, (2) adding commercial grade sodium pyrithione, (3) mixing until all of the sodium pyrithione is reacted (4) filtering, washing, drying and milling the product.

As another alternative, the composition of the present invention is suitably produced by combining copper pyrithione (in the form of an aqueous dispersion, wet cake or dry solid) with cuprous oxide, or by "co-streaming" the copper pyrithione into the cuprous oxide production. One advantage of using copper pyrithione rather than sodium pyrithione, is that essentially no sodium salts remain in the composition, thus obviating any need for water washing of the product to remove sodium salts.

The copper pyrithione/cuprous oxide composition of the invention is useful as an antifoulant in marine paints and coatings, or as a seed and crop fungicide.

### EXAMPLES

The following examples are intended to illustrate, but in no way limit the scope of, the present invention.

#### EXAMPLE 1 - Preparation of a 1:10 Parts by Weight Copper Pyrithione:

##### Cuprous Oxide Composite Particle Product Made Using Cuprous Oxide Plus Sodium Pyrithione

292.19 grams of a 70% aqueous untreated cuprous oxide slurry was charged into a 1000 ml 3-neck, round-bottom flask reactor, followed by addition of 117.28 grams of 16.1 % sodium pyrithione solution. The reaction mixture was stirred continuously, and the pH of the mixture monitored and recorded. The initial pH was 5, and it increased during the reaction to a final pH of 10.5 at room temperature. After approximately 4 hours of mixing, the contents of the flask was assayed for sodium pyrithione content by adding iron chloride and looking for the blue color of iron pyrithione. However, no blue color was found, indicating that the reaction was complete. A small amount (0.5% by weight) of stearic acid was added (to coat the particle) and mixed for 30 minutes.



The resulting copper pyrithione/cuprous oxide product was isolated by filtration. The resulting cake was then washed with water until the filtrate was free of ions as measured by conductivity. The cake was then dried in a vacuum oven at 30°C overnight.

5 Microscopic examination indicated that many of the cuprous oxide particles were coated with adhering particles of copper pyrithione to form the desired composite particles plus sodium pyrithione.

EXAMPLE 2 - Preparation of a 1:10 Parts by Weight Copper Pyrithione:

10 Cuprous Oxide Composite Particle Product Made Using Cuprous Oxide Plus Sodium Pyrithione

This Example followed the same procedure as in Example 1, except 292.19 grams of a 70% aqueous fatty acid treated cuprous oxide slurry was charged into a 1000 ml 3-neck, round-bottom flask reactor. The cuprous oxide had been treated with 0.5 weight percent of stearic acid. No additional fatty acid  
15 was added later to coat the product.

The composite particle product was examined under a microscope consisted of needle-shaped copper pyrithione particles, cuprous oxide particles, and cuprous oxide particles coated with copper pyrithione.

EXAMPLE 3 - Preparation of a 1:5 Parts by Weight Copper Pyrithione: Cuprous

20 Oxide Composite Particle Product Made Using Cuprous Oxide Plus Sodium Pyrithione

298.66 grams of a 70% aqueous fatty acid treated cuprous oxide slurry were charged into a 1000 ml 3-neck, round-bottom flask reactor. 234.61 grams of 16.1 % crude sodium pyrithione solution were added, and the reaction mixture  
25 was continuously stirred as the pH of the mixture was monitored. After 4 hours of mixing the sodium pyrithione in the flask was assayed and found to be 0.0% indicating that the reaction was complete.

The resulting copper pyrithione/cuprous oxide composite product was isolated by filtration. The resulting cake was then washed with water until the filtrate was free of ions as measured by conductivity. The cake was then dried in an vacuum oven at 30°C overnight.

- 5 The microscopic examination result weakens the application as originally stated.

EXAMPLE 4 - Preparation of a 1:20 Parts by Weight Copper Pyrithione:  
Cuprous Oxide Composite Particle Product Made Using Cuprous  
Oxide Plus Sodium Pyrithione

- 10 288.94 grams of a 70% aqueous fatty acid treated cuprous oxide slurry was charged into a 1000 ml 3-neck, round-bottom flask reactor, followed by addition of 58.55 grams of 16.1 % sodium pyrithione solution. The reaction mixture was continuously stirred, the pH of the mixture was monitored, showing an initial pH of 5 and a final pH of 10.5. After 4 hours of mixing, no sodium pyrithione was found in the flask indicating that the reaction was complete.

- 15 The resulting copper pyrithione/cuprous oxide product was isolated by filtration. The resulting cake was then washed with water until the filtrate was free of ions as measured by conductivity. The cake was then dried in an vacuum oven at 30°C overnight.

EXAMPLE 5 – Efficacy Testing of Composite Particle Product

- 20 The antifouling efficacy of the composition of Example 1 was evaluated by mixing 110 grams of it into 90 grams of a paint base. The commercial paint comprised:

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TABLE 1 – PAINT FORMULATION

INGREDIENT	GRAMS	PERCENT
BENTONE SD-2 thickener	1.7	0.85
VAGH* resin	4.99	2.50
CUPRIC PYRITHIONE TO CUPROUS OXIDE IN A WEIGHT RATIO OF 1:10	110	55.00
TRICRESYL PHOSPHATE	4.3	2.15
WOOD ROSIN	10	5.00
SILICA	10.4	5.20
SOLVENT MIXTURE**	55.28	27.64
DISPERBYK 163***	3.33	1.67
TOTAL	200.00	100.00

\* VAGH (polymer resin) = vinyl chloride-vinyl acetate-vinyl alcohol terpolymer, a product of Union Carbide Corporation.

\*\* The solvent mixture used was a mixture of 40% by weight of xylene and 60% by weight of methyl-isobutyl ketone (MIBK)

\*\*\* DIPERBYK 163 (dispersing agent) = a high molecular weight block copolymer, a product of BYK-Chemie.

This paint was painted onto fiberglass panels (8" x 10") and (12" x 6"), which were then submerged in under seawater for eight months (and running) in

10 Miami, Florida. The results to date show:

(1) the composite particle product's combination of cupric pyrithione and cuprous oxide is much more effective than either biocide alone and comparable in efficacy to a paint made using a simple mixture of these two biocides;

(2) relatively low level of cupric pyrithione (3%) and cuprous oxide  
15 (30%) containing paints in the composite particle perform better than the "cuprous oxide alone" controls;

(3) This composite particle product is effective in providing total antifouling performance against hard and soft fouling.

Pictures in the form of microphotographs of the cuprous oxide particles,  
20 and of the composite cuprous oxide/copper pyrithione particles, are provided in Fig. 1 and Fig. 2 respectively. Fig. 2 shows the attachment of small copper pyrithione particles to the surface of larger cuprous oxide particles.

The composite particle configuration is believed to provide complimentary biocidal efficacy for the following reason: CuPT is most effective against algae, while cuprous oxide provides complementary performance by strongly resisting barnacle formation but is relatively ineffective against algae.

- 5 This combination product provided complete control of marine fouling after five months of exposure of the painted fiberglass panels to seawater. Other than tributyl tin, no other antifouling agent is known by the present inventors to provide this effectiveness against hard and soft fouling, and tin suffers from the above-described environmental problems.

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### PROPOSED EXAMPLES

#### PROPOSED EXAMPLE 6 – Composite Particles Are Prepared Using Aluminum Oxide Plus Sodium Pyrithione

- 15 A beaker with a stirrer is charged with a slurry of 10.0 g (0.10m) of aluminum oxide in 100ml of distilled water. To this stirred slurry, at ambient temperature, is added dropwise over a period of one-half hour 11.8g, 0.029-mole of a 40% solution of the sodium salt of 2-pyrithione. This mixture is stirred an additional 1 hr. at ambient temperature, the pH adjusted to 8.5 with dilute hydrochloric acid, and the slurry is filtered, washed with 3 times 50 ml of distilled water, or until essentially free of soluble pyrithione salt. It is then dried
- 20 in a 40-50C oven overnight to yield 11.2g powder. XRF analysis of the resulting composite composition indicates the presence of a pyrithione-containing coating on the water-insoluble core aluminum oxide substrate. The new composition also shows enhanced bioactivity toward algae, fungi, and bacteria, relative to the core material, when challenged in standard tests for such activity.

- 25 PROPOSED EXAMPLES 7-23 – Composite Particles Are Prepared Using Various Metals, Metal Oxides or Metal Salts Plus Sodium Pyrithione

Following the procedure of Example 6 above, the amount of the metal element or compound described in Table 2 is employed instead of the aluminum

oxide used in Example 6. XRF analysis of the resulting composite composition powder (having a yield given in the last column of Table 2 for each example) indicates the presence of a pyrithione-containing coating on the water-insoluble core substrate. The new composition also shows enhanced bioactivity toward  
5 algae, fungi, and bacteria, relative to the core material, when challenged in standard tests for such activity.

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TABLE 2  
VARIOUS COMPOSITE PRODUCT COMBINATIONS

	CORE COMPOUND		SHELL COMPOUND		COMPOSITE PRODUCT YIELD
	COMPOSITION	(grams)	SODIUM PYRITHIONE REAGENT 40% aqueous	(grams)	DRY WEIGHT (grams)
	Aluminum phosphate	10		9.9	11.1
7	Bismuth Oxide	10		9.9	10.4
8	Copper	10		12.8	11.5
9	Copper II Carbonate	10		4.3	10.5
10	Cuprous Oxide	10		5.6	10.7
11	Cupric Oxide	10		10.1	11.2
12	Copper I Selenide	10		5.6	10.7
13	Iron II Oxide	10		11.2	11.8
14	Iron III Oxide	10		5.0	10.9
15	Silver	10		3.7	10.7
16	Silver Oxide	10		1.7	10.3
17	Titanium Oxide	10		20.2	12.1
18	Zinc	10		12.3	11.5
19	Zinc Oxide	10		9.9	11.2
20	Zinc Selenide	10		5.6	10.7
21	Zirconium Oxide	10		13.1	11.4
22					

PROPOSED EXAMPLE 24 – Preparation of a Shampoo

- 5        An antidandruff shampoo is prepared using the composite biocide particles described in Example 20 having a core of zinc oxide and a shell of zinc pyrithione. The shampoo contains the following components:

**Component A:**

	Magnesium aluminum silicate	1.0%
10	Water	41.0%
	Hydroxypropyl methylcellulose	0.8%

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**Component B:**

Zinc Pyrithione/zinc oxide composite particles, 4.0%

**Component C:**

Cocamide DEA 1.0%

5 **Component D:**

Triethanolamine lauryl sulfate, 40% 40.0%

Triethanolamine, 99% 3.2%

FD&C Blue No. 1 (0.2%) 1.5%

FD&C Yellow No. 5 (0.1%) 0.5%

10 Fragrance q.s.

The antidandruff shampoo composition was made as follows:

Component A was prepared by heating water to 70°C and dissolving the other two components with stirring (about 1500 rpm). Component B was added, and stirring continued for 5 minutes. Stirring speed was reduce stirring to ~300  
15 RPM. Component C was melted in a separate container, and added to the A/B mixture. The heat was removed and component D was added while the mixture cooled.

The present invention is intended to illustrate, but in no way limit the scope of the present invention. Although the invention has been shown and  
20 described with respect to illustrative embodiments thereof, it should be appreciated that the foregoing and various other changes, omissions and additions in the form and detail thereof may be made without departing from the spirit and scope of the invention as delineated in the claims. All patents and patent applications mentioned are herein incorporated by reference in their entirety.

WHAT IS CLAIMED IS:

1. A biocidal composition comprising composite particles, each of said composite particles containing a shell and a core, said core comprising a metal or a metal-containing compound wherein the metal is a moiety selected from the group consisting of zinc, copper, bismuth, silver, zirconium, and combinations thereof, and said shell comprising a pyrithione adduct comprising the reaction product of pyrithione with a portion of said core metal or metal compound.
2. The composition of claim 1 wherein said core comprises surface oxidized copper powder or a copper-containing compound selected from the group consisting of cuprous oxide, copper hydroxide, copper containing salt(s), and combinations thereof.
3. The composition of claim 1 which is produced by reacting a copper compound selected from the group consisting of cuprous sulfide, copper thiocyanate, and combinations thereof, with a pyrithione compound selected from the group consisting of pyrithione acid, ammonium pyrithione, tert-butylamine pyrithione, calcium pyrithione, dithiobis (pyridine-N-oxide), a magnesium salt adduct of dithiobis (pyridine-N-oxide) and combinations thereof, and sodium pyrithione, potassium pyrithione, lithium pyrithione, and combinations thereof, to cause transchelation of said pyrithione compound to copper pyrithione.
4. The composition of claim 2 wherein said copper-powder or copper containing compound is present in said composition in an amount of from about 99 to about 60% by weight, based upon the total weight of said composition.
5. The composition of claim 3 wherein said copper-containing composite is present in said composition in an amount of from about 98 to about 80% by weight, based upon the total weight of said composition.



6. The composition of claim 4 wherein said copper-containing composite is present in said composition in an amount of from about 97 to about 86% by weight, based upon the total weight of said composition.

7. The composition of claim 2 wherein said copper pyrithione adduct shell is present in an amount of from about 1 to about 40% by weight, based upon the total weight of said composition.

8. The composition of claim 6 wherein said copper pyrithione adduct shell comprises from about 2 to about 20% by weight, based on the total weight of said composition.

9. The composition of claim 7 wherein said copper pyrithione adduct shell comprises from about 3 to about 14% by weight, based on the total weight of said composition.

10. The composition of claim 2, further comprising surfactant or a fatty acid coating on said particle of said copper-containing salt.

11. The composition of claim 10 wherein said fatty acid is selected from the group consisting of stearic, oleic, glycerol, and combinations thereof.

12. A method of making a composite particle of copper pyrithione and a copper-containing compound by reacting pyrithione acid, or a water-soluble salt of pyrithione selected from the group consisting of sodium pyrithione, lithium pyrithione, potassium pyrithione, dithiobis (pyridine-N-oxide), a magnesium salt adduct of dithiobis (pyridine-N-oxide), and combinations thereof, and with copper powder or a copper compound selected from the group consisting of low solubility copper salts or copper oxide, copper hydroxide, and combinations thereof.



20. The composition of claim 12 wherein said copper pyrithione adduct comprises from about 1 to about 40% by weight, based on the total weight of said composition.

21. The composition of claim 20 wherein said copper pyrithione adduct comprises from about 2 to about 20% by weight, based on the total weight of said composition.

22. The composition of claim 21 wherein said copper pyrithione adduct comprises from about 3 to about 14% by weight, based on the total weight of said composition.

23. The composition of claim 12 further comprising the step of coating said particles with a surfactant or a fatty acid.

24. The composition of claim 23 wherein said fatty acid is selected from the group consisting of stearic, oleic, glycerol, and combinations thereof.

25. A coating composition, comprising:

(a) a base medium; and,

(b) an antifouling composition comprising composite particles comprising a shell and a core, said core comprising an antihard-fouling effective amount of copper-containing compound particles coated with said shell comprising an antisoft-fouling effective amount of copper pyrithione.

26. The coating composition of claim 25 wherein said antifouling composition further comprises a coating of a fatty acid selected from the group consisting of stearic, oleic, glycerol, and combinations thereof.

27. The coating composition of claim 25 wherein said copper-containing core compounds is selected from the group consisting of cuprous oxide, cuprous sulfide, copper thiocyanate, copper hydroxide, and combinations thereof.

28. The coating composition of claim 25 wherein said copper-containing core compounds comprises from about 99 to about 60% by weight, based on the total weight of said antifouling agent.

29. The antifouling composition of claim 25 wherein said copper pyrithione adduct comprises from about 1 to about 40% by weight, based on the total weight of said antifouling agent.

30. The coating composition of claim 25 wherein said antifouling composition comprises from about 2 to about 75% by weight of said composition.

31. A method of reducing or inhibiting the growth of hard and soft organisms on a surface which comprises contacting the surface with coating composition and containing antifouling composition comprising particles of copper pyrithione and a copper containing compound, or oxide or hydroxide thereof.

32. A method of making an antifouling composition comprising combining copper pyrithione with cuprous oxide in the presence of a surfactant, said antifouling composition being effective against hard-fouling and soft-fouling organisms.

33. A method of forming the composition of claim 1 which comprises reacting relatively water insoluble copper powder or a copper salt with a relatively more water soluble pyrithione compound in a transchelation reaction.

34. The method of claim 33 wherein the process is conducted in water by contacting a slurry of the "insoluble" copper source with a solution of the "soluble" pyrithione source, whereupon an insoluble copper pyrithione coating is formed on the slurried "insoluble" copper source in a manner that is controllable and can be formed in a fashion that is ideal for releasing biocidal quantities of both soft and hard fouling agents to control fouling. These engineered particles are filtered from the water media from which they are formed, dried, and are suitable for reformulation in a variety of media such as paints, powder coatings, dipping solution, polymers and plastics.

35. The composition of claim 1 wherein the core material is copper I oxide and the coating material is copper II pyrithione.

36. The composition of claim 35 wherein the weight ratio of copper oxide to copper pyrithione is from 5:1 to 15:1. and the coating diameter is about 1% of the idealized spherical particle.

37. The composition of claim 36 wherein the weight ratio of copper oxide to copper pyrithione is about 10:1 and the diameter of the coating material is about one percent of the diameter of each of said composite particle as calculated for said composite particles being idealized spheres.

38. A biocidal composition comprising composite particles containing a shell and a core, said core comprising a filler or a biocide and said shell comprising a pyrithione adduct derived from a portion of the core metal.

39. A shampoo, soap skin care medicament, or combination thereof, comprising a surfactant and composite particles, each said composite particle containing a shell and a core, said core comprising a metal or a metal-containing compound comprising a moiety selected from the group consisting of zinc,
- 5 copper, bismuth, silver, iron, titanium, aluminum, zirconium and combinations thereof, and said shell comprising a pyrithione salt comprising pyrithione and said moiety.

# COMPOSITE BIOCIDAL PARTICLES

## ABSTRACT OF THE INVENTION

A biocidal composition is disclosed comprising composite particles, each of said composite particles containing a shell and a core, said core comprising a metal or a metal-containing compound wherein the metal is a moiety selected from the group consisting of zinc, copper, bismuth, silver, zirconium, and combinations thereof said shell comprising a pyrithione adduct comprising the reaction product of pyrithione with a portion of said core metal or metal compound. In one aspect, an anti-fouling composition is disclosed comprising (a) an anti-soft-fouling effective amount of copper pyrithione; and (b) an anti-hard-fouling effective amount of a copper-containing salt, or oxide or hydroxide thereof. The present invention also relates to a method of making an antifouling composition comprising particles of copper pyrithione and a copper-containing salt that is effective against hard-fouling and soft-fouling organisms.

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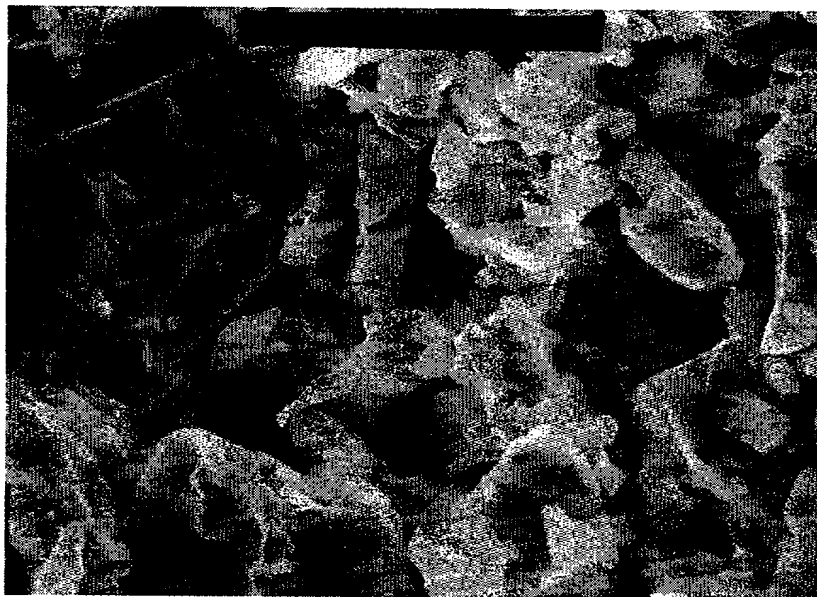


Fig. 1



Fig. 2



COMBINED DECLARATION AND POWER OF  
ATTORNEY FOR JOINT INVENTORS

1. As below named joint inventors, we hereby declare that our addresses and citizenship are as stated below next to our names. We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"COMPOSITE BIOCIDAL PARTICLES"**

the specification of which:

☒ is attached or

☐ was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_  
and amended on \_\_\_\_\_.

2. We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
3. We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Section 1.56(a) of Title 37, Code of Federal Regulations.
4. ☐ Because this is a continuation-in-part application, we acknowledge our duty to disclose to the Office all information known to us to be material to the patentability as defined in 37 C.F.R. § 1.56 which has become available between the filing date of the prior application and the filing date of this continuation-in-part application.
5. ☐ We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application Serial No.	Date of Filing (day, mo., yr.)	Priority Claimed under 35 U.S.C. § 119	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

6. ☐ We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the filing date of this application:

Application Serial No.	Filing Date	Status

7. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
8. As named inventors, we hereby appoint the following attorneys of Wiggin & Dana to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Dale L. Carlson, Reg. No. 28,784; Todd E. Garabedian, Ph.D., Reg. No. 39,197; Thomas F. Presson, Reg. No. 41,442; Gregory S. Rosenblatt, Reg. No. 32,489; William A. Simons, Reg. No. 27,096; and William B. Slate, Reg. No. 37,238.
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application or patents issuing therefrom may be served.

[X] We hereby authorize the U.S. attorney named in paragraph 9 to accept and follow instruction from Olin Corporation as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorney and us. In the event of a change in the person from whom instructions may be taken, we will notify the U.S. attorney.

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